



ACHILLES PRACTICES CERTIFICATION

Vendor Report



APC Program WIB 2.0 [Vendor] Certification Approval

Pursuant to Wurldtech Achilles™ Practice Certification requirements commensurate with APC Program Vendor Base Practices, [Vendor security practices], for the [Vendor system name] are approved by the undersigned.

xxxxxx

Date

Dr. Nate Kube, Wurldtech CTO

Date

Project number:

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1 APC Program

1.1 Workflow

Figure 1-1 Illustrates the APC certification process workflow in terms of inputs, usage, and outputs. It shows Vendor and Wurldtech Security Technologies activities and interactions. *APC Program Description* describes each step in the workflow [1]. [Vendor] approved variances to the workflow must be aligned with the [Vendor's] Scoping Document as illustrated in steps 2 and 3 in the diagram.

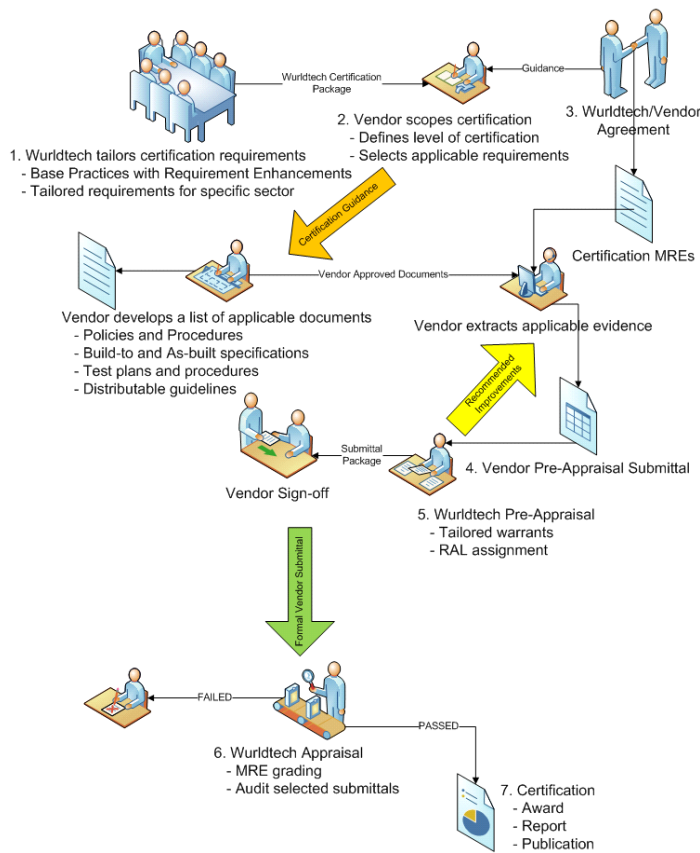


Figure 1-1 [Vendor] Certification Workflow

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2 Vendor System

This section contains a graphical representation of the [Vendor System Name], the system considered for certification. The approved [Vendor's] Scoping Document includes a comprehensive description of the Vendor's system components.

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3 Appraisal Findings

[Vendor] has [successfully, failed] Achilles Practice Certification for the objective [Bronze, Silver, Gold] level of applicable security practices needed to build security into the [Vendor System Name].

3.1 Wurldtech's Appraisal Grade

The table below summarizes Wurldtech's appraisal of [Vendor's Bronze, Silver, Gold] level compliance with WIB 2.0 requirements.

Wurldtech's Appraisal Grade of [Vendor] Evidence

Process Area Category	PASS/FAIL/P3I Grade		
	Pass	Fail	P3I
Organization			
System Capability			
System Acceptance Testing and Commissioning			
Maintenance and Support			
Total			

3.1.1 Certification Credits when Applicable

Wurldtech approved [Vendor] declaration of Base Practices (BPs) to be Pre-Planned Product Improvements (P3I) in the [Vendor] Scoping Document. Wurldtech graded these P3I BPs a conditional PASS, pending submittal of an approved and resourced P3I plan.

Wurldtech recognized that [Vendor System Name], the system considered for certification, has not been delivered to the first customer. However, [Vendor] conducted a hosted demonstration for their first customer, and the results were acceptable. Wurldtech gave certification credit for implementing the applicable APC BPs that were included in the demonstration.

3.1.2 Organization Process Area Certification

[Vendor] submitted evidence to [PASS, FAIL] [count] applicable Organizational BPs related to the life cycle of the [Vendor System Name]. Submitted evidence clearly shows the [existence, lack] of applicable policies and procedures required to prepare and inform personnel of the security requirements, to designate a security contact and to specify best security practices. Furthermore, the evidence shows [reasonable, unsatisfactory] practice of these policies and procedures.

[Vendor] declared [count] Organization BPs, [BP.xx.yyBR, BP.xx.yyRE(z), ...], to be P3I BPs. Wurldtech concurs with this declaration. Wurldtech graded these P3I BPs a conditional PASS, pending submittal of an approved and resourced P3I plan. [If applicable, continue discussion of P3I grades]

3.1.3 System Capability Process Area Certification

[Vendor] submitted evidence to [PASS, FAIL] [count] applicable System Capability BPs. Submitted evidence clearly shows the [existence, lack] of applicable policies and procedures required to harden the [Vendor System Name], protect it from infestation of malicious code, implement timely patch management, secure account management, support backup and restore, increase network visibility, standardize historian interfaces, verify operations, connect wirelessly, fortify safety instrumented system connectivity, provide secure remote access, and protect data.

[Vendor] declared [count] System Capability BPs, [BP.xx.yyBR, BP.xx.yyRE(z), ...] to be P3I BPs. Wurldtech concurs with this declaration. Wurldtech graded these P3I BPs a conditional PASS, pending submittal of an approved and resourced P3I plan. [If applicable, continue discussion of P3I grades]

3.1.4 System Acceptance Testing and Commissioning Process Area Certification

[Vendor] submitted evidence to [PASS, FAIL] [count] applicable System Acceptance Testing (SAT) and Commissioning BPs. Submitted evidence clearly shows the [existence, lack] of applicable policies and procedures required to manage deployment, harden the [Vendor System Name], protect it from infestation of malicious code, implement timely patch management, secure account management, support backup and restore, implement the architecture, connect wirelessly, provide secure remote access, and protect data.

[Vendor] declared [count] System Capability BPs, [BP.xx.yyBR, BP.xx.yyRE(z), ...] to be P3I BPs. Wurldtech concurs with this declaration. Wurldtech graded these P3I BPs a conditional PASS, pending submittal of an approved and resourced P3I plan. [If applicable, continue discussion of P3I grades]

3.1.5 Maintenance and Support Process Area Certification

[Vendor] submitted evidence to [PASS, FAIL] [count] applicable Maintenance and Support BPs. Submitted evidence clearly shows the [existence, lack] of applicable policies and procedures required to manage deployment, harden the [Vendor System Name], protect it from infestation of malicious code, implement timely patch management, secure account management, support backup and restore, implement the architecture, connect wirelessly, provide secure remote access, and protect data.

[Vendor] declared [count] System Capability BPs, [BP.xx.yyBR, BP.xx.yyRE(z), ...] to be Pre-Planned Product Improvements (P3I). Wurldtech concurs with this declaration. Wurldtech graded these P3I BPs a conditional PASS, pending submittal of an approved and resourced P3I plan. [If applicable, continue discussion of P3I grades]

3.2 Successful Certification if Applicable

[Vendor] has successfully completed Wurldtech's APC Program. Successful completion results in Wurldtech's Bronze award stating that [Vendor] has satisfied the APC Program Vendor Base Practices for [Bronze, Silver, Gold] level of applicable security practices need to build security into the [Vendor System Name].

The [Vendor] *Appraisal Workbook* contains a comprehensive description of Wurldtech's appraisal of the evidence submitted by [Vendor] in response to the APC Program requirements [2]. This workbook is part of the [Vendor] Report.

3.3 Failed Certification if Applicable

[Vendor] has unsuccessfully completed Wurldtech's APC Program. Unsuccessful completion results in Wurldtech stating that [Vendor] has not satisfied the APC Program Vendor Base Practices resulting in no award applicable to security practices needed to build security in the [Vendor's System Name]. The following rationale supports this finding.

[List of descriptions supporting this finding]

4 Concurrence Vote

4.1 [Vendor] Concurrence

The [Vendor] senior management vote was [unanimous, count for/against/abstain] to accept the findings presented by the Wurldtech Appraiser.

[If applicable, describe non-unanimous vote count, rationale, and recommendations]

4.2 Wurldtech Concurrence

The Wurldtech senior management vote was [unanimous, count for/against/abstain] to accept the findings presented by the Wurldtech Appraiser.

[If applicable, describe non-unanimous vote count, rationale, and recommendations]

4.3 WIB Discretionary Board (WDB) Action

[Neither Wurldtech nor the [Vendor] submitted an issue to the WDB for consideration.]

The WIB Discretionary Board vote was [unanimous, count for/against/abstain] to rule in favor of [Wurldtech, Vendor] acceptance of finding submitted for arbitration. [Describe WDB rationale for their ruling]

[If applicable, describe non-unanimous vote count, rationale, and recommendations]

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5 Recommendations

5.1 Recommended [Vendor] Improvements

Worldtech recommends the [Vendor] consider the following improvements to prepare for recertification of [Vendor System Name].

[List of recommended improvements and rationale]

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6 Works Cited

- [1] Wurldtech Security Technologies, "Achilles Practices Certification Program Description", Informative Description Version 2.0, December 2010.
- [2] Wurldtech Security Technologies , "Vendor Appraisal Assessment", Microsoft 2010 EXCEL Workbook, December.

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